# Приложение № 16-8

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| |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | |  |  |  |  |  |  |  |  | | |  | | --- | | АО Инвестиционно-финансовая компания "Солид" Лицензии на осуществление:  брокерской деятельности № 045-06790-100000 от 24.06.2003 деятельности по управлению ценными бумагами №045-06795-001000 от 24.06.2003 | | | |  | |  | | --- | | 123007, Москва, Хорошевское шоссе, д. 32А Тел.: (495) 228-70-10 | | | | | | |  | | --- | | **Отчет Компании об операциях на финансовых рынках** | | | | | | | | | | |  | | --- | | **Отчетный период: с \_\_\_\_\_\_\_\_\_\_\_\_\_\_ по \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_** |   **Клиент: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_**  *(Ф.И.О./Код)* | | | | | | | | | **№ Договора: \_\_\_\_\_\_\_\_\_\_\_** | |  | | --- | |  | | | | | | | | | **Риск-квалификация Клиента: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_**   |  | | --- | | **Дата создания отчета:** \_\_\_\_\_\_\_\_\_\_\_\_ | | | |  | | --- | |  | | | | | | | | |  |  |  |  | | --- | --- | --- | --- | |  |  |  |  | | **I. ДЕНЕЖНЫЕ СРЕДСТВА:** | | | | | **Движение денежных средств** | | | | |  | Зачислено | Списано | Итого | | **Остаток денежных средств на начало периода (включая клиринговое обеспечение)** |  |  |  | | Ввод/вывод денежных средств |  |  |  | | Доходы по ЦБ (дивиденды, проценты и т.д.), переведенные со специального депозитарного счета на специальный брокерский счет |  |  |  | | Списание задолженности перед АО ИФК «Солид» |  |  |  | | Выдача/возврат займов |  |  |  | | Сальдо оборотов по сделкам |  |  |  | | Биржевой сбор |  |  |  | | Накладные расходы |  |  |  | | Дивиденды, проценты Клиентам по Договорам ДУ и по операциям РЕПО |  |  |  | | в т.ч. дивиденды, проценты, по которым налог удержан у источника дохода |  |  |  | | Вариационная маржа |  |  |  | | Гарантийный перевод |  |  |  | | Переоценка ЦБ |  |  |  | | Налог |  |  |  | | Вознаграждение за использование денежных средств |  |  |  | | **Проценты по займам ЦБ** |  |  |  | | **Остаток денежных средств на конец периода (включая клиринговое обеспечение)** |  |  |  | | **Задолженность перед АО ИФК «Солид» на начало периода** |  |  |  | | Вознаграждение АО ИФК «Солид», включая НДС |  |  |  | | Депозитарный сбор |  |  |  | | Сбор за перерегистрацию по операциям, возмещение затрат сторонних контрагентов |  |  |  | | Проценты по маржинальному кредитованию/займам по ЦБ/займам по ДС |  |  |  | | Задолженность перед АО ИФК «Солид» на конец периода |  |  |  | | **Проценты по займам ЦБ начисленные** |  |  |  | | Сальдо оборотов по незавершенным сделкам |  |  |  | | Заемные средства |  |  |  | | **Свободный остаток денежных средств** |  |  |  | | **Планируемый остаток денежных средств** |  |  |  | | | | | | | | | | |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | |  | | |  | | |  | |  | |  | | |  | | | | | | | | | | | | | **Местонахождение денежных средств, клирингового обеспечения** | | | | | | | | | | | | | Местонахождение | Валюта | Остаток на начало отчетного периода | | Зачислено | Списано | | Остаток на конец отчетного периода | | Курс | | Остаток на конец отчетного периода по курсу | |  |  |  | |  |  | |  | |  | |  | | | | | | | | | | |  |  |  |  | | --- | --- | --- | --- | |  |  |  |  | |  | | | | | **Обязательства по возврату денежных средств** | | | | | Входящий остаток по обязательствам | Зачислено | Списано | Исходящий остаток по обязательствам | |  |  |  |  | | | | | | | | | | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | |  | | |  | |  | |  | |  | |  | |  | |  | |  | |  | | | | | | | | | | | | | | | | | | | **II. ЦЕННЫЕ БУМАГИ:** | | | | | | | | | | | | | | | | | | | **Баланс ЦБ** | | | | | | | | | | | | | | | | | | | Ценная бумага | Номер гос.рег. | Остаток на начало периода | | Зачислено | | Списано | | Остаток на конец периода | | Ожидает зачисления | | Ожидает списания | | Свободный остаток | | Плани- руемый остаток | | |  |  |  | |  | |  | |  | |  | |  | |  | |  | | | | | | | | | | | |  |  |  |  |  | | --- | --- | --- | --- | --- | |  |  |  |  |  | |  | | | | | | **Обязательства по возврату ценных бумаг** | | | | | | Ценная бумага | Входящий остаток по обязательствам | Зачислено | Списано | Исходящий остаток по обязательствам | |  |  |  |  |  | | | | | | | | | | |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | |  | |  |  |  |  | | | |  | | | | | | | | | **Местонахождение ЦБ** | | | | | | | | | Ценная бумага | Номер гос.рег. (ид. номер, номер бланка) | | Депозитарный счет | Количество | Оценочная цена | Оценочная стоимость |  | |  |  | |  |  |  |  |  | |  |  | |  |  | **Итого:** |  |  | |  | | | | | | | | | | | | | | | | |

Компания:

АО ИФК «Солид» Клиент

м.п. *(подпись, Ф.И.О.)* м.п. *(подпись, Ф.И.О.)*

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| |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | |  |  |  |  |  |  | | | **Расшифровка к движению денежных средств** | | | | | | | | Дата | Название операции | Зачислено | Списано | Валюта | Комментарии | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | |  | |  | |  | |  | |  | |  | | |  |  | |  | |  | |  | |  | | | | **в том числе доходы по ЦБ**   |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | | Дата | Название операции | Зачислено | Списано | Валюта | Комментарии | |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | |  | |  | |  | |  | |  | |  | |  |  | |  | |  | |  | |  | |   **Расшифровка к движению ценных бумаг** | | | | | | | | | | | | | Название операции | | Зачислено | | Списано | | Комментарии | | Комментарии | | | |  | |  | |  | |  | |  | | | |

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| АО Инвестиционно-финансовая компания "Солид" Лицензии на осуществление:  брокерской деятельности № 045-06790-100000 от 24.06.2003 деятельности по управлению ценными бумагами №045-06795-001000 от 24.06.2003 | | | | | | | | | | |  | |  | | |  | | |  | | | |  |  | |  | | | | 123007, Москва, Хорошевское шоссе, д. 32А Тел.: (495) 228-70-10 | | | | | | | | | | | | | | |
| **Отчет о состоянии счета клиента по срочным сделкам и операциям, с ними связанным** | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| **Отчетный период:** | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |  | | | | | |
| **Клиент:** | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |  | | | | | |
| **№ Договора:** | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |  | | | | | |
| **Дата составления отчета:** | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |  | | | | | |
| **Информация об открытых позициях по фьючерсным контрактам и опционам:** | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |  | | | | | |
| Контракт | | Тип ЦБ | | | Остаток на начало отчетного периода | | | | | | | | | Сделки/Исполнение | | | | | | | | Остаток на конец отчетного периода | | | | | | | | | | | Валюта | Расчетная цена | | | | Начальная маржа | | | | Оценка опциона | | |
|  | |  | | | Покупка | | | Продажа | | | | | | Покупка | | | | Продажа | | | | Покупка | | | | | | Продажа | | | | |  | | | |  | | |
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| **Информация о движении денежных средств:** | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |  | | | | | | | |
| Датаопер. | Валюта | | |  | | | Остаток на начало отчетного периода | | | | | | | | Зачислено | | | | | | | Списано | | | | | Остаток на конец отчетного периода | | | | | | | | | | | | | | | | | | |
|  |  | | | Содержание операции | | | остаток д/с (ЦБ в денежной оценке) | | начальная маржа | | | | | |  | | | | | | |  | | | | | остаток д/с (ЦБ в денежной оценке) | | | | начальная маржа | | | | Сумма средств, своб. от обязат. | | | | | | Уведомление:  сумма к внесению | | | | |
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| **Информация о срочных сделках:** | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |  | | | | | | |
| Номер  сделки | | | Вид сделки | | | Дата и время сделки | | | | Тип актива | | Контракт | | | | | Цена, пп | | | Кол-во | Валюта | | | | Премия | | | | Вариац. маржа | | | Биржевой сбор | | | | Комиссия Брокера-посредн. | | | | Комиссия Брокера | | | Торг. Площ. | |

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| |  |  |  | | --- | --- | --- | | АО Инвестиционно-финансовая компания "Солид" Лицензии на осуществление:  брокерской деятельности № 045-06790-100000 от 24.06.2003 деятельности по управлению ценными бумагами №045-06795-001000 от 24.06.2003 |  | 123007, Москва, Хорошевское шоссе, д. 32А Тел.: (495) 228-70-10 |   **Отчет о состоянии счета клиента по биржевым валютным сделкам и операциям, с ними связанным** | | | | | | | | | | | | | | | | | | | | |
| **Отчетный период:** | | | | | | | | | | | | | | | | | | | |  |
| **Клиент:** | | | | | | | | | | | | | | | | | | | |  |
| **№ Договора:** | | | | | | | | | | | | | | | | | | | |  |
| **Дата составления отчета:** | | | | | | | | | | | | | | | | | | | |  |
| **Информация об обязательствах:** | | | | | | | | | | | | | | | | | | | |  |
| Инструмент | | Остаток на начало отчетного периода | | | | Сделки/Исполнение | | | | Остаток на конец отчетного периода | | | | Начальная маржа | | |
|  | | Покупка | | Продажа | | Покупка | | Продажа | | Покупка | | Продажа | |  | | |
|  | | | | | | | | | | | | | | | | | |  | | |
| **Информация о движении денежных средств:** | | | | | | | | | | | | | | | | | |  | | |
| Датаопер. | Валюта | | Содержание операции | | Остаток на начало отчетного периода | | | | Зачислено | | Списано | | Остаток на конец отчетного периода | | | | | | | | |
|  |  | |  | | остаток д/с | | начальная маржа | |  | |  | | остаток д/с | | начальная маржа | Сумма средств, своб. от обязат. | | | Уведомление:  сумма к внесению | | |
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**Информация о валютных сделках:**

**Код клиента:**

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| Номер сделки | Дата и время заключениясделки | Дата исполнения сделки | Инструмент | Тип расчетов (TOD, TOM) | Направление сделки | Объем в валюте лота, в ед. валюты | Объем сделки в сопр. валюте | Курс | Курс ЦБ на дату расчетов | Комиссия биржи | Комиссия брокера |
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| АО ИФК «Солид» \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ | Клиент \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ |

*(подпись, Ф.И.О.) (подпись, Ф.И.О.)*

м.п. м.п.

***Информация для Клиентов***

*АО ИФК «Солид» напоминает Клиентам о необходимости в соответствии с условиями заключенного Договора об оказании услуг на финансовых рынках, своевременно оплачивать Компании комиссионное вознаграждение и возмещать Компании все расходы, связанные с обслуживанием Клиента на финансовых рынках. При возникновении просроченной задолженности, Компания вправе принять меры, предусмотренные положениями раздела 6 Регламента оказания АО ИФК «Солид»**услуг на финансовых рынках*